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Pueblo, Colorado USA
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AMERICAN RAILROADS

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Research Motivation



- **Rail/Track Inspection is key for assuring Safety & Structural integrity in rails**
 - **GOAL – 0 TRACK RELATED ACCIDENTS**
 - Growing demand for higher axle loads
 - Fatigue defects and RCF are ongoing issues
 - Other challenges

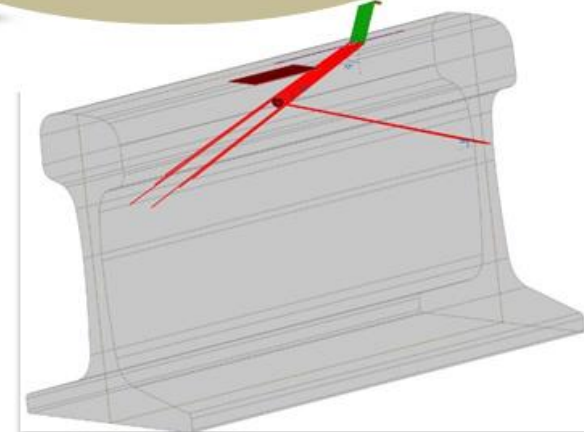


Example of a Rail Failure



Hand-held Ultrasound Testing

Collaborative Approach



Rail Defects



- Rail defects develop from a number of causes. Mainly, repeated cyclic loading can initiate defects at microscopic anomalies
 - Such defects will start and grow internally with accumulated traffic (mileage and tonnage)



Transverse fissure (TF)



Compound Fissure (CF)



Detail Fracture (DF)



DF under Shelling



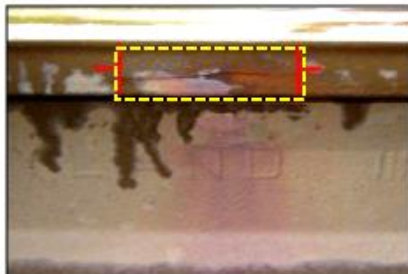
Reverse DF



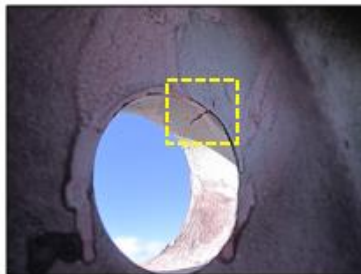
Engine Burn Fracture



Vertical Split Head (VSH)



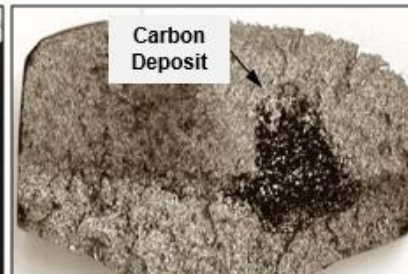
Horizontal Split Head (HSH)



Bolt Hole Crack (BHC)



Web Defect

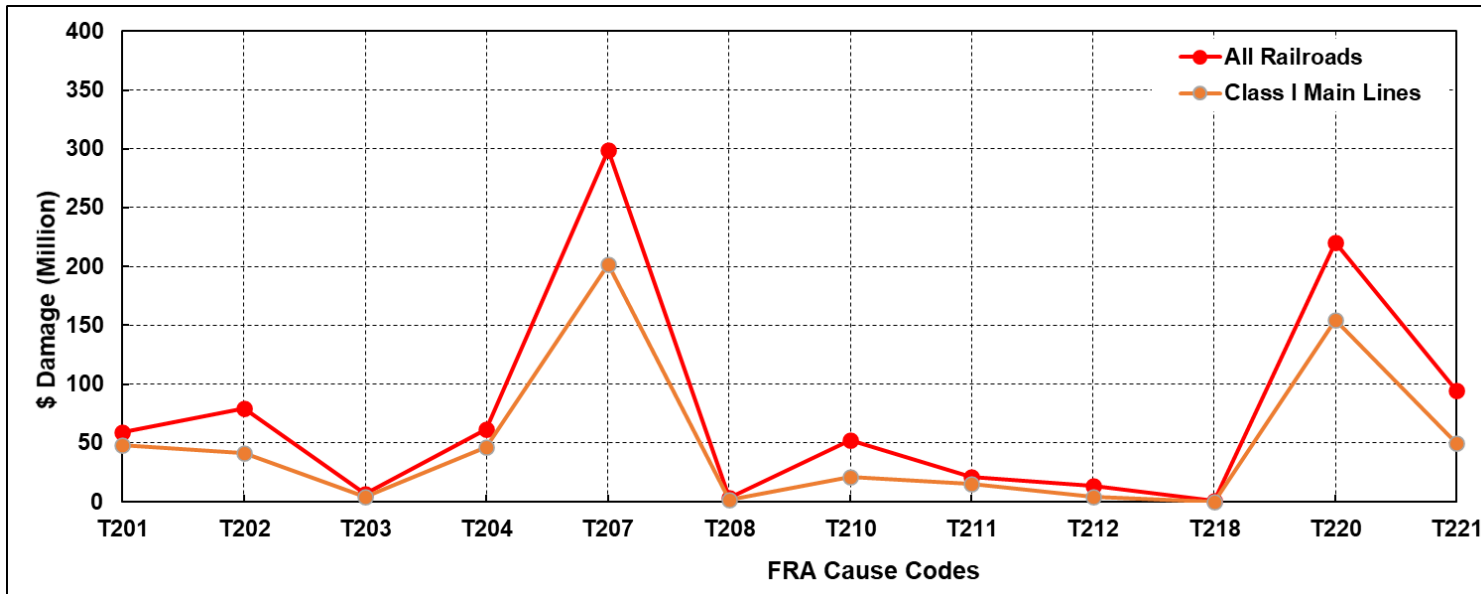
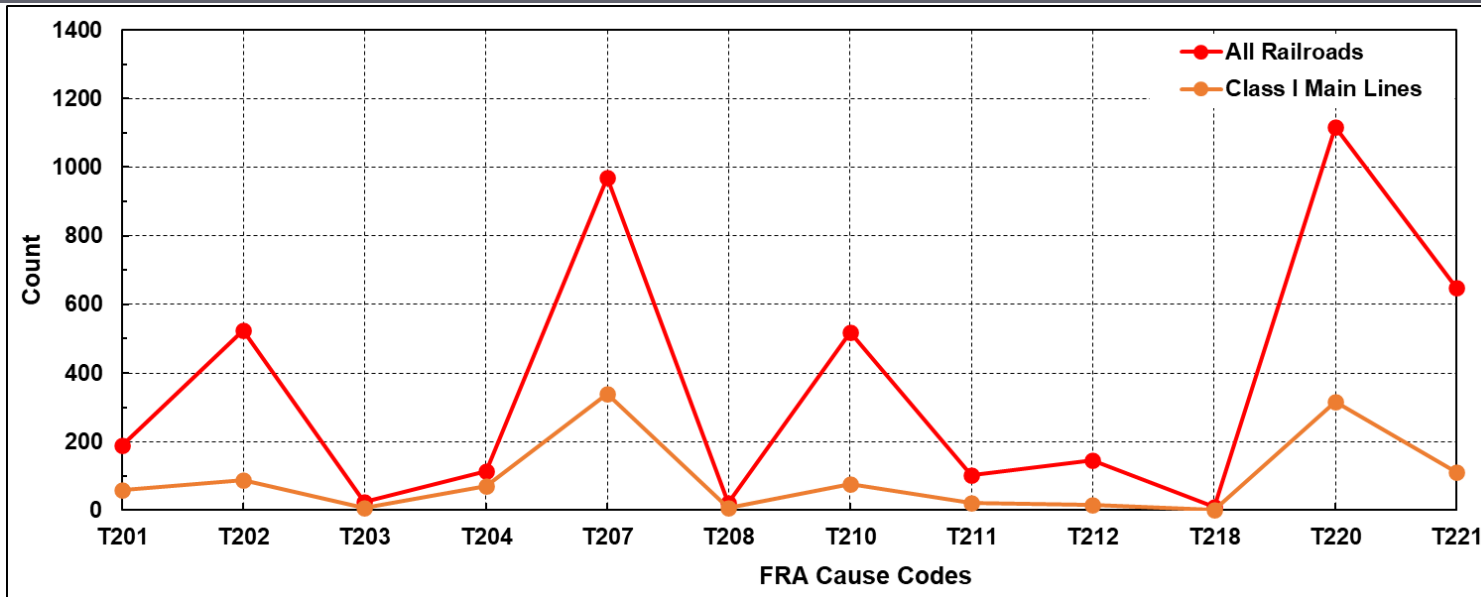


Weld Defect



Base Defect

Broken Rail Derailment (Year 2000 – 2018)



Code	Causes (Broken Rail)
T201	Bolt hole crack or break
T202	Base
T203	Weld (plant)
T204	Weld (field)
T207	Detail fracture from shelling or head check
T208	Engine burn fracture
T210	Head and web separation (outside joint bar limits)
T211	Head and webseparation (within joint bar limits)
T212	Horizontal split head
T218	Piped rail
T220	Transverse/compound
T221	Vertical split head

Rail Flaw Inspection



- **Ultrasonic Testing (UT) non-destructive evaluation (NDE) methods for rail flaw detection are the primary techniques employed by the railroad industry**



Rail Detector Car



Hand-held UT

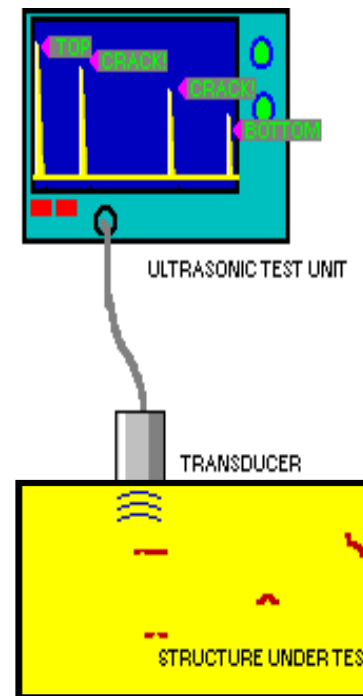
UT Principle



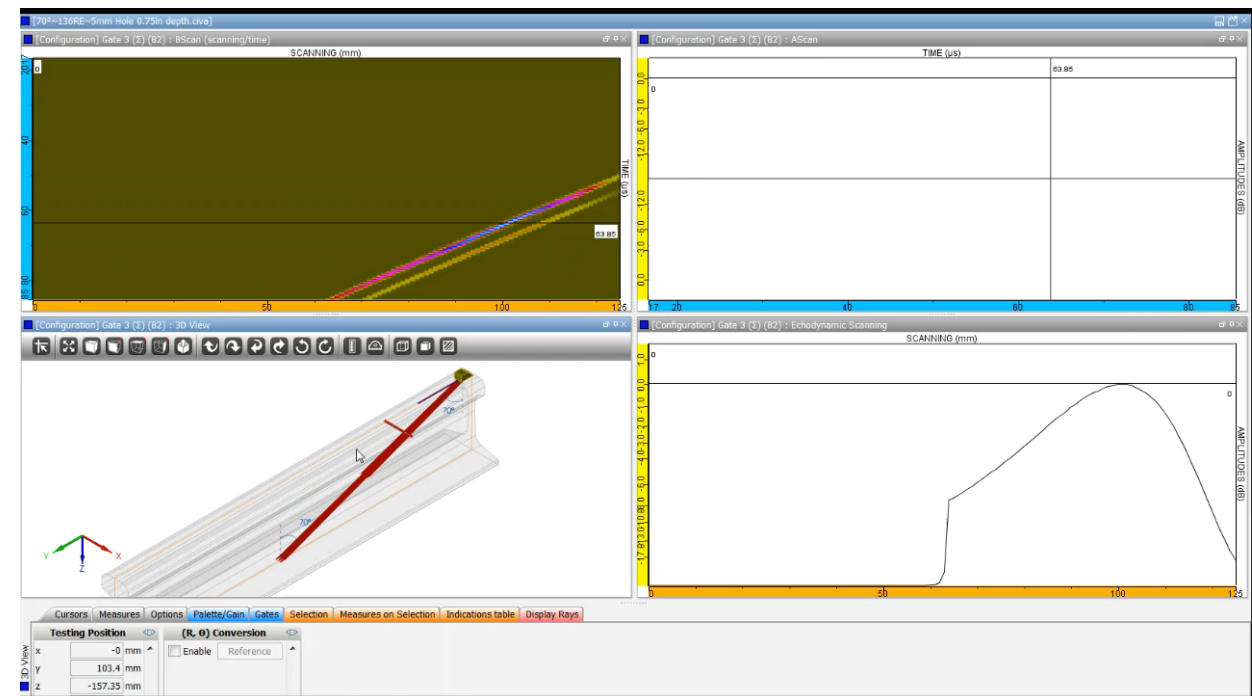
- UT is based on the principle of ultrasonic wave generation, propagation, and recording/analyzing of reflected wave in materials



Portable hand-held ultrasonic
flaw detector



Pulse-echo
UT

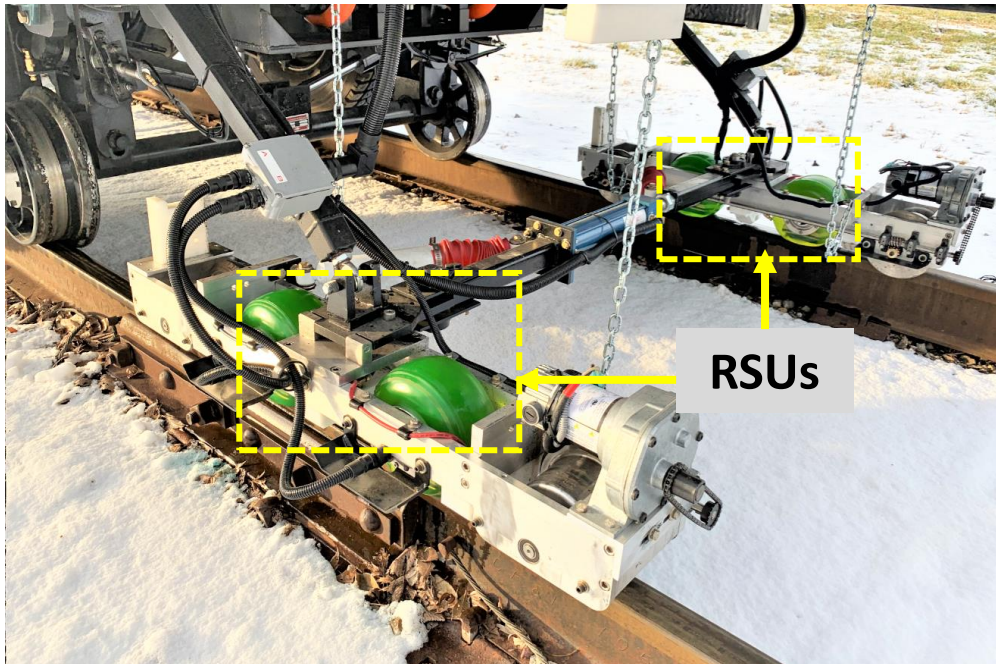


Angle Beam UT

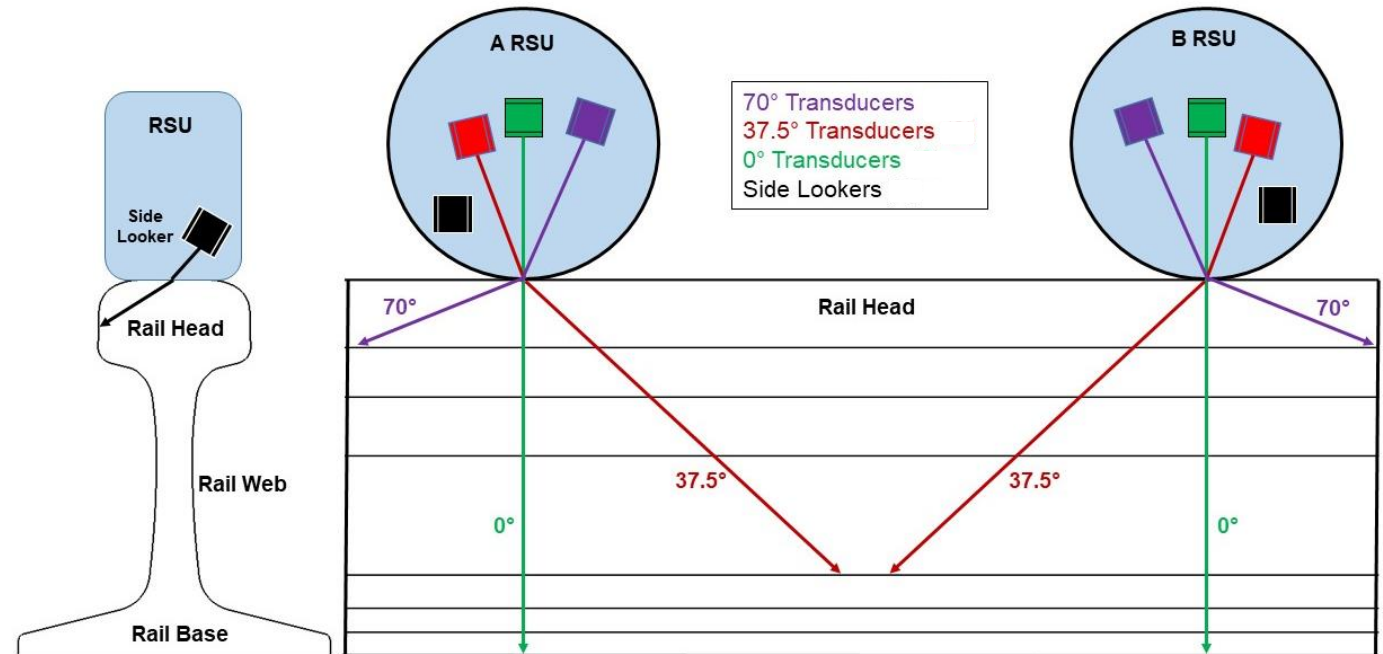
Rail Detector Cars



- Rail detector cars use fixed angle piezoelectric transducers housed in a liquid filled membrane (tire) called roller search units (RSUs) to generate/emit ultrasonic waves in the rail



Typical hi-rail carriage

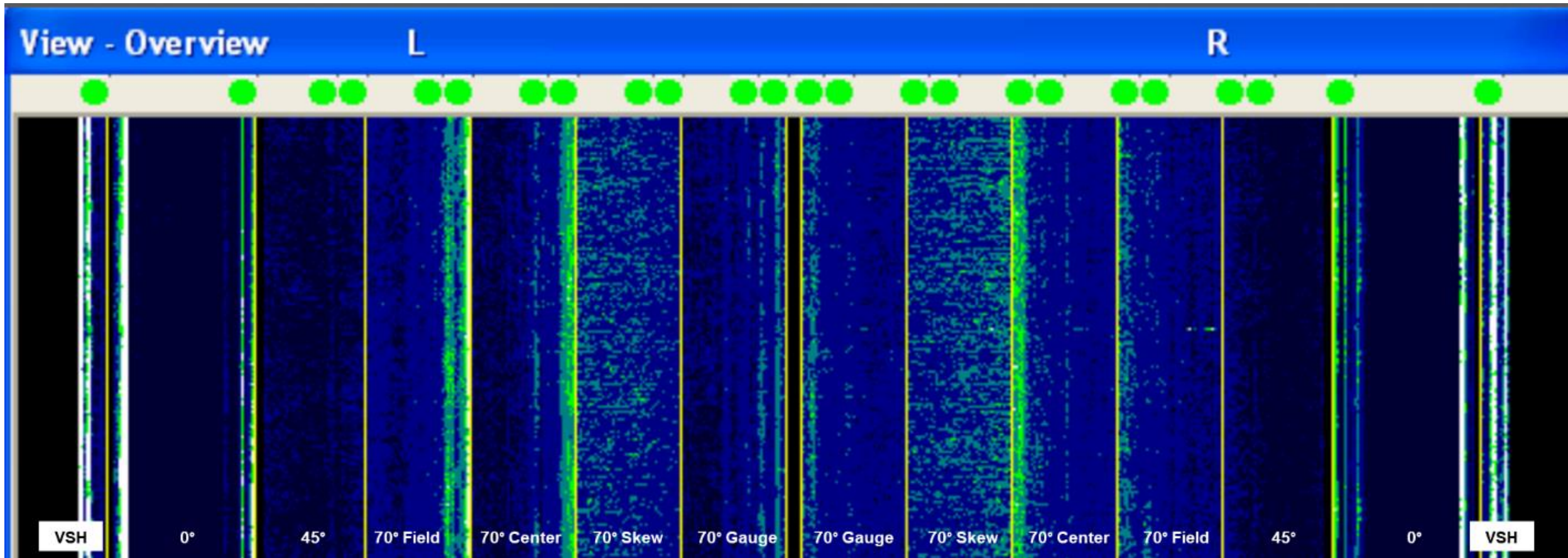


Typical ultrasonic transducers configuration in RSUs for rail testing

Detector Car Rolling B-scan Result



- When a flaw is identified, an alarm is generated to allow the operators to further consider it

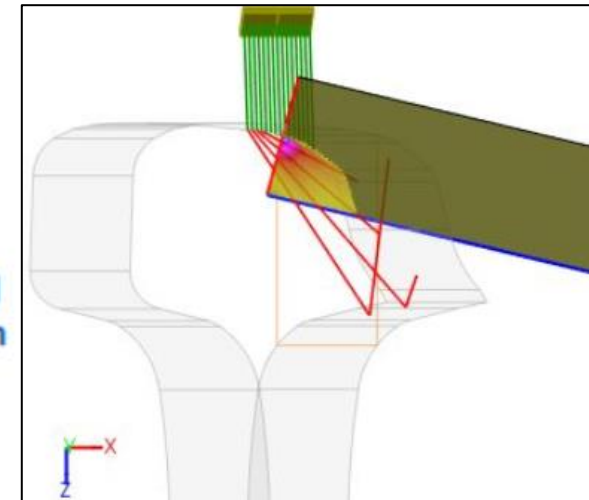
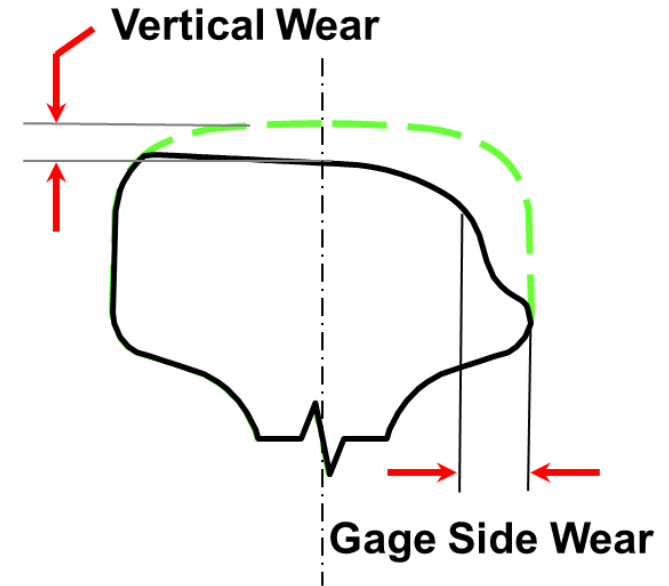
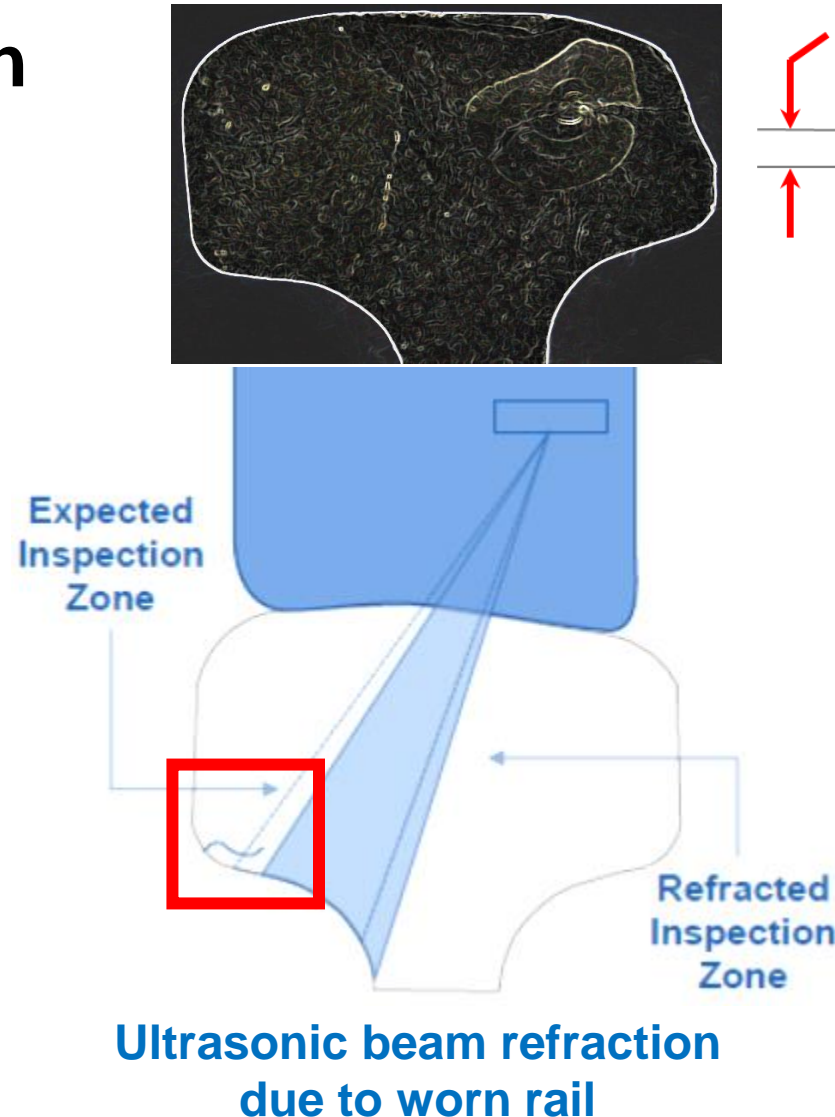
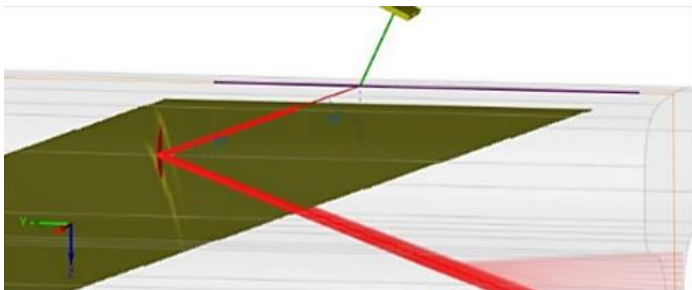


Ultrasonic testing rolling B-scan result

UT Challenges



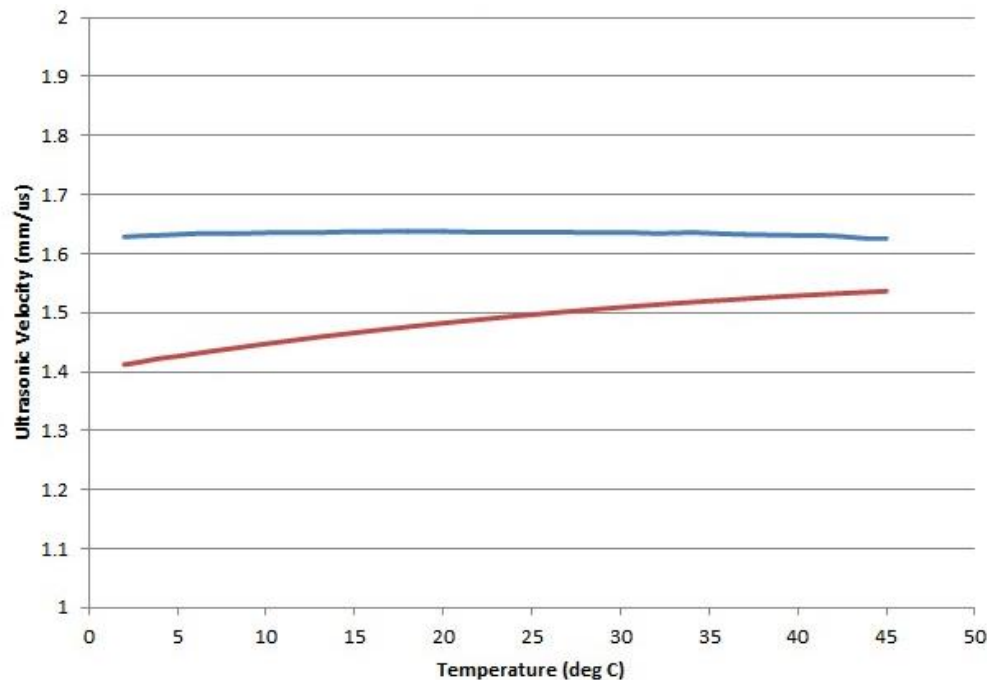
- **Fixed probe angle approach cannot correct misdirected beam**
 - **Misaligned RSU**
 - Probes and their inspection zone shift with the RSU misalignments
 - **Rail wear**
 - Beam refracted away from the target inspection zone
 - **Defect Orientation**



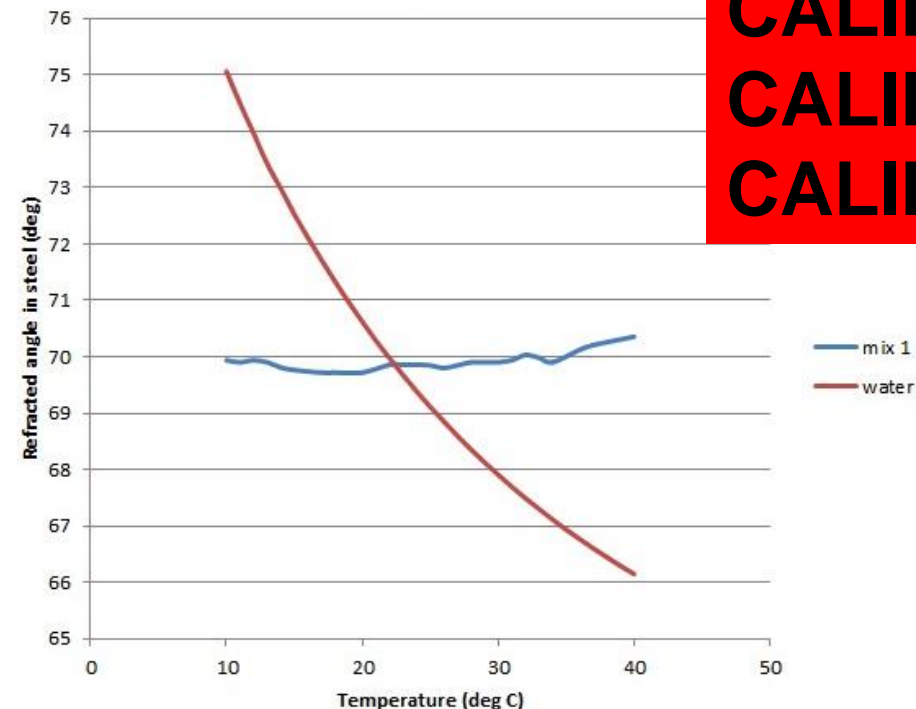
UT Velocity and Beam Refraction



- When ultrasonic waves travel between two different mediums, its wave propagation is governed by several parameters:
 - Ultrasonic velocity in medium (temperature & density dependent)
 - Ultrasonic beam refraction (Snell's Law)



Variation of ultrasonic velocity with temperature



Variation of 70° refracted shear wave with temperature

**CALIBRATION!
CALIBRATION!
CALIBRATION!**

Rail Flaw Sizing



- Traditional approach of transverse defect (TD) sizing in the rail head using AREMA provided cross sectional head area (CSHA) may not be valid for severe worn rails due to the head loss, which may cause under-estimating the size of the TD

$$TD = \frac{\pi l w}{4 A} \times 100\%$$

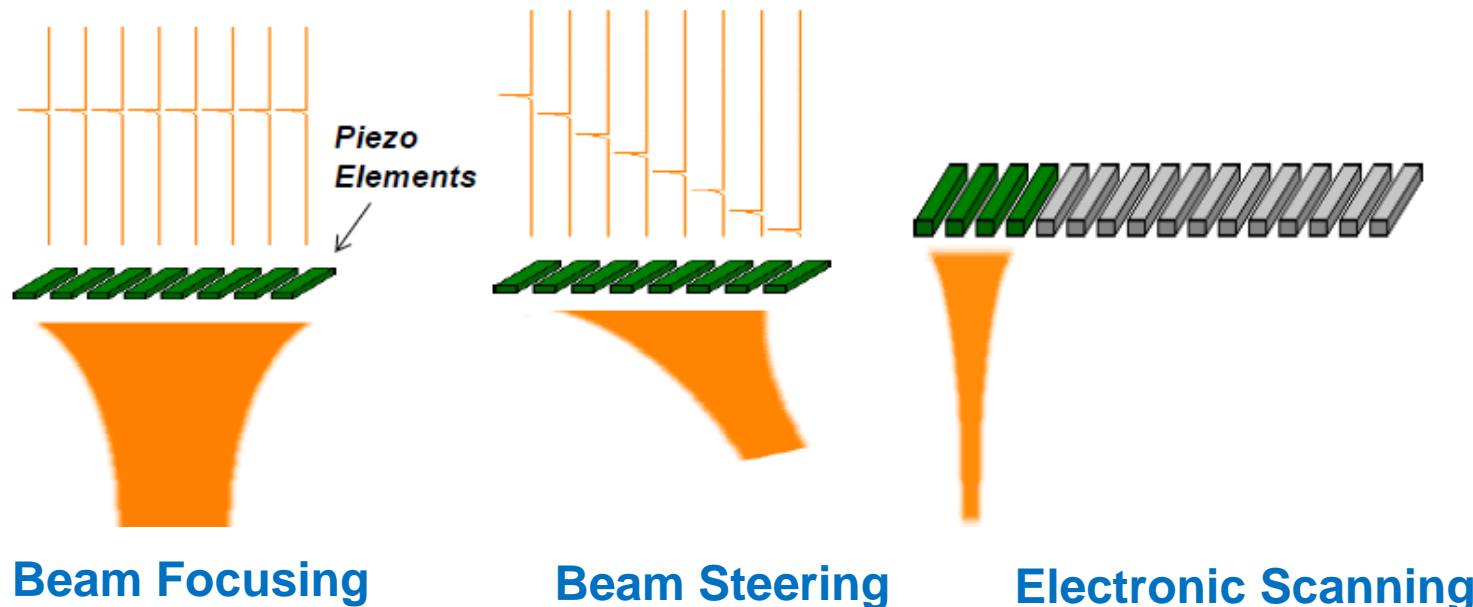
Rail ID	Physical Measurements						
	Measured Rail Head Area [inch ²]	AREMA Rail Head Area [inch ²]	Square End Mill Diameter [inch]	Defect Orientation Relative to Base [degrees]	TD Sizing - Measured CSHA [%]	TD Sizing - AREMA CSHA [%]	Difference
W2	4.19	4.82	1.25	5°	29.3%	25.5%	3.8%
W3	4.14	4.82	1.25	10°	29.7%	25.5%	4.2%

W: Curve worn (gage face wear)
TD located in Gage side

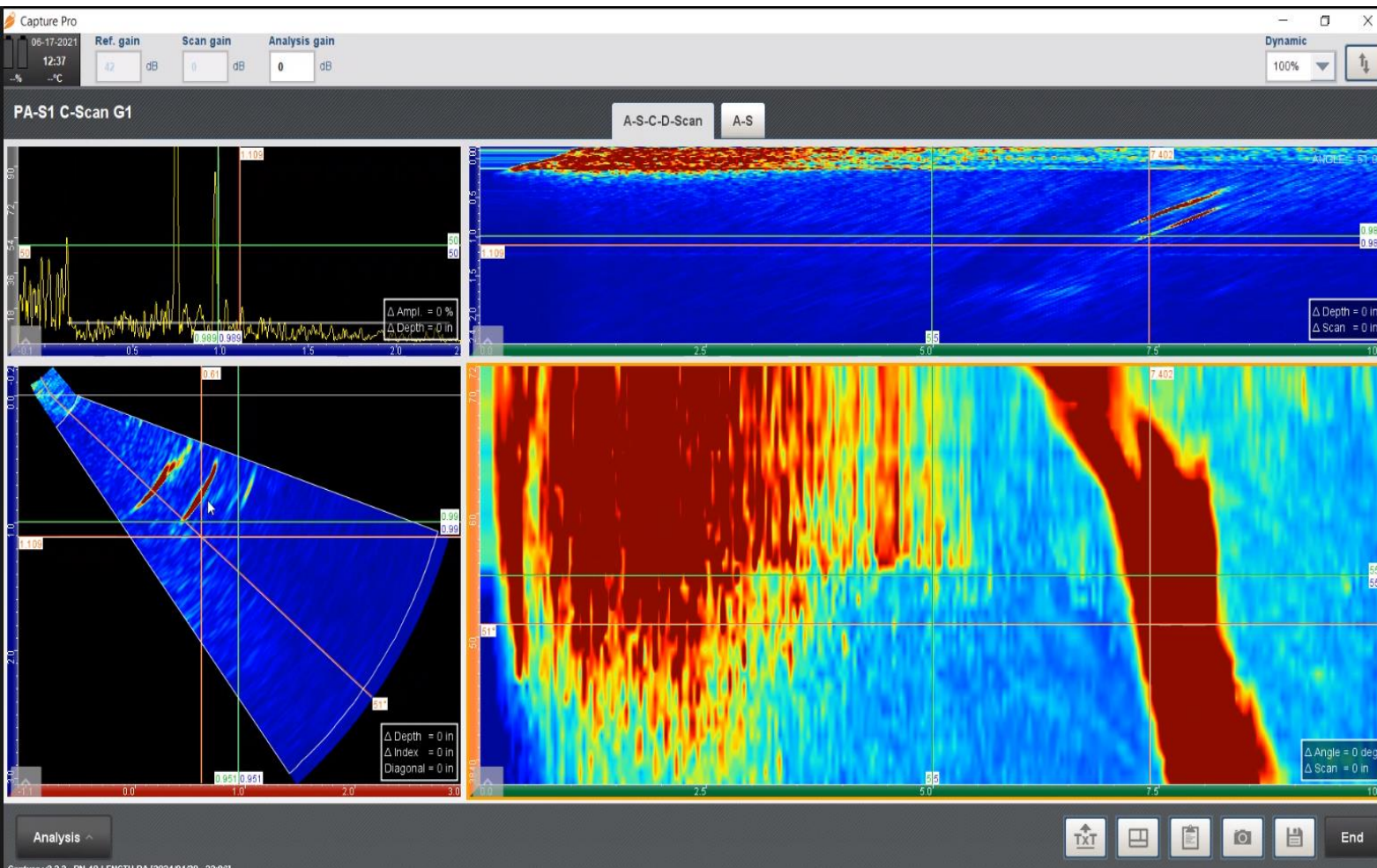
Phased Array Ultrasonic Testing (PAUT)



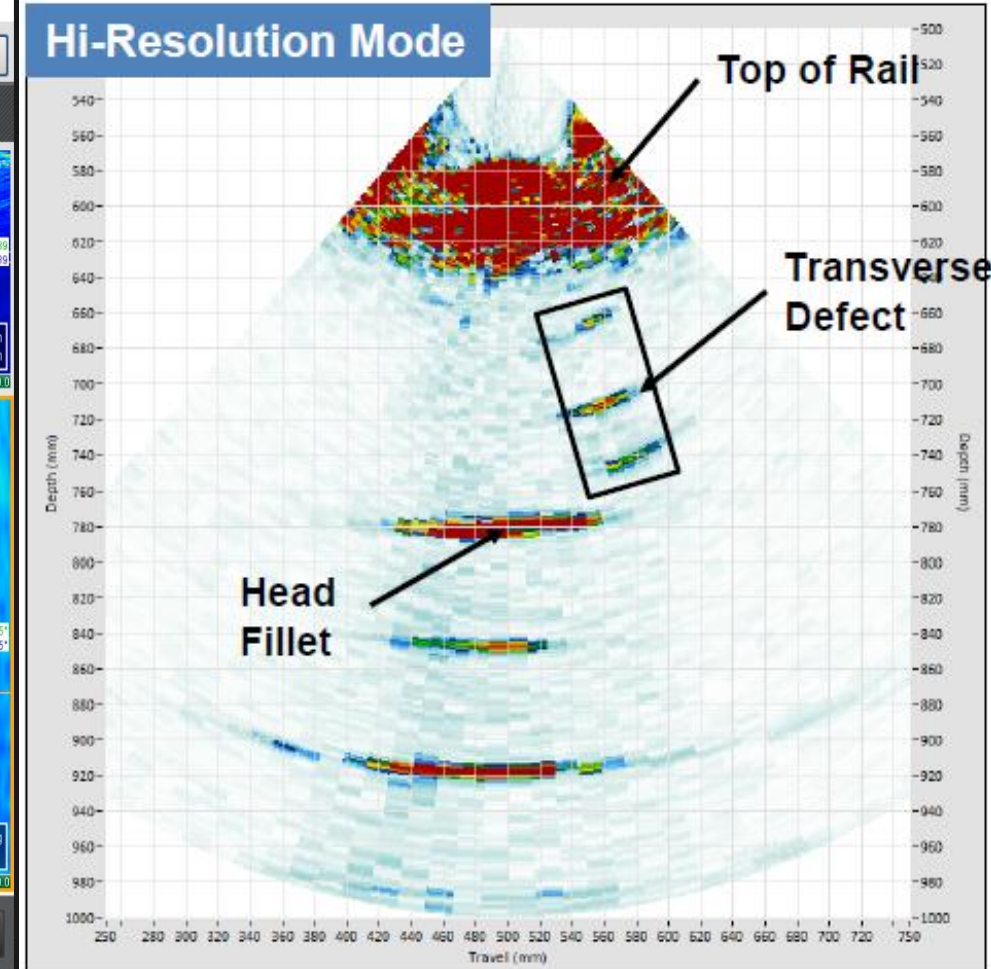
- **PAUT uses multiple ultrasonic crystal elements in the same probe housing**
 - Typically, from 16 to 256 elements
 - By pulsing (firing) the elements in different delay sequences, the beam can be electronically controlled (pulsed separately in a programmed pattern) so it allows for the beam focusing, steering, and electronic scanning



PAUT Results



32 Elements oriented longitudinally to the rail head



64 Elements oriented transversely to the rail head

Rail Flaw Sizing Comparisons



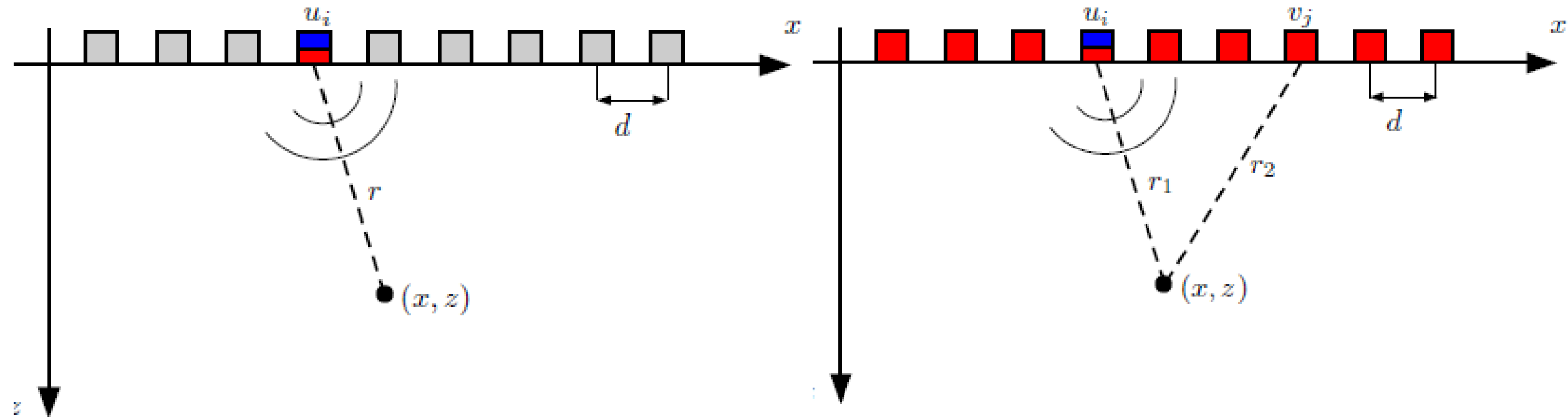
Rail ID		Physical Measurements			PAUT Measurements	% Difference	Conventional UT Measurements	% Difference
		Defect Orientation [degrees]	Actual TD Sizing [%]	TD Location	Measured TD [%]		Measured TD [%]	
Minimal Head Wear	N1	5°	16.4%	Center	17.5%	1%	2.8%	14%
	N2	10°	16.4%	Center	19.0%	3%	4.0%	12%
Surface Damage	S1	5°	25.7%	Gage	26.7%	1%	8.8%	17%
	S2	10°	27.6%	Gage	27.0%	1%	8.2%	19%
Gage Face Wear	W1	5°	29.3%	Gage	26.5%	3%	13.8%	16%
	W2	10°	29.7%	Gage	30.5%	1%	13.0%	17%

- Hand-held PAUT sizing provided more accurate than the traditional hand-held UT for RF-LOAD samples for some conditions

Advanced PAUT Imaging Approaches



- Synthetic Aperture Focus Technique (SAFT)
- Full matrix capture (FMC)/ Total focusing Method (TFM)



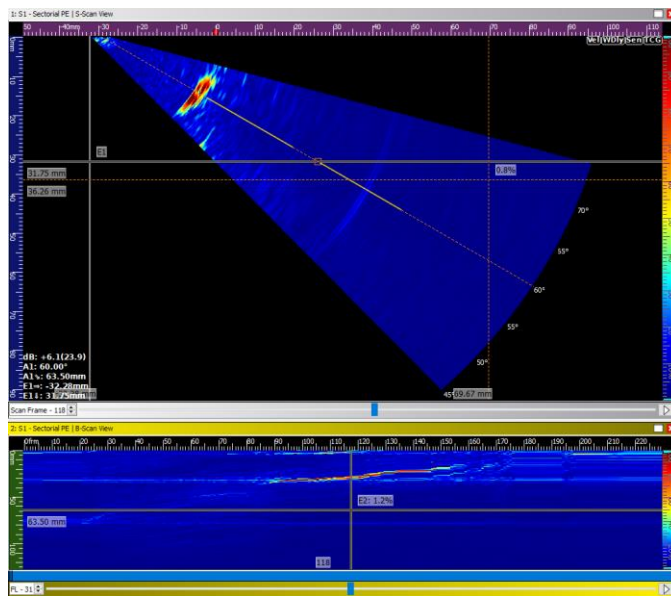
Synthetic Aperture Focus Technique (SAFT)

Full matrix capture (FMC)/
Total focusing Method (TFM)

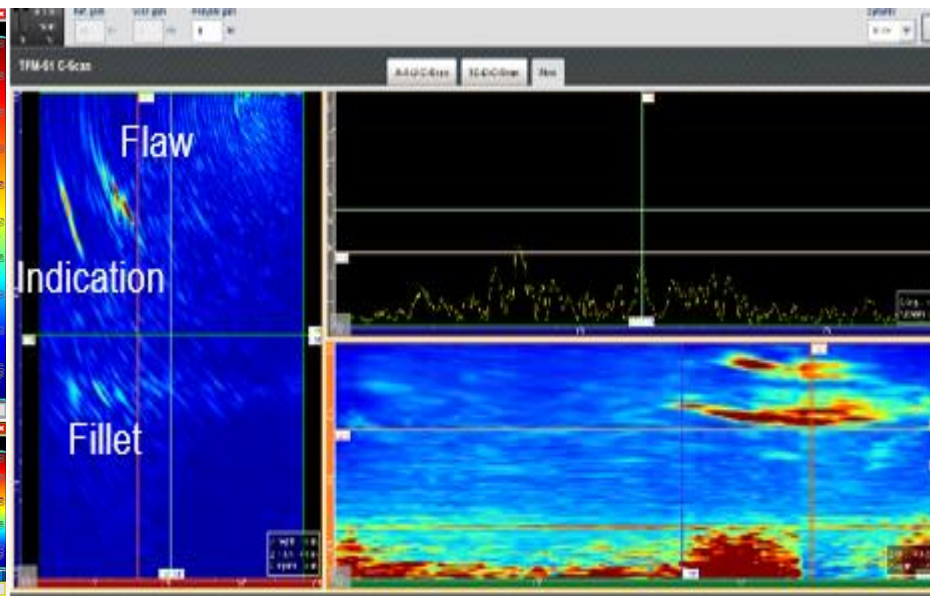
PAUT Results Comparison



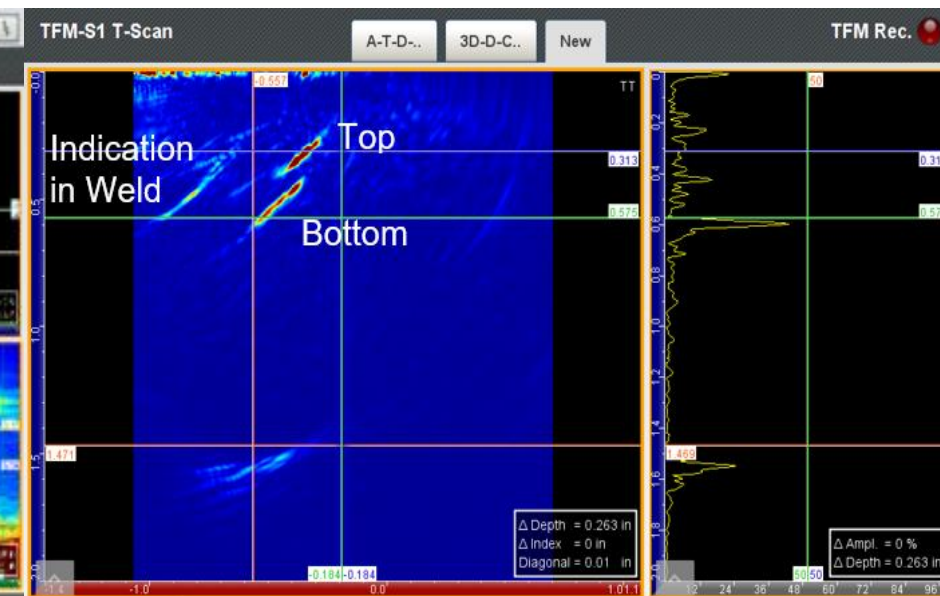
- Rail sample with TD near a weld
 - 0.4-inch-wide x 0.6-inch height (approx. 4%)



32-elements 5 MHz Probe



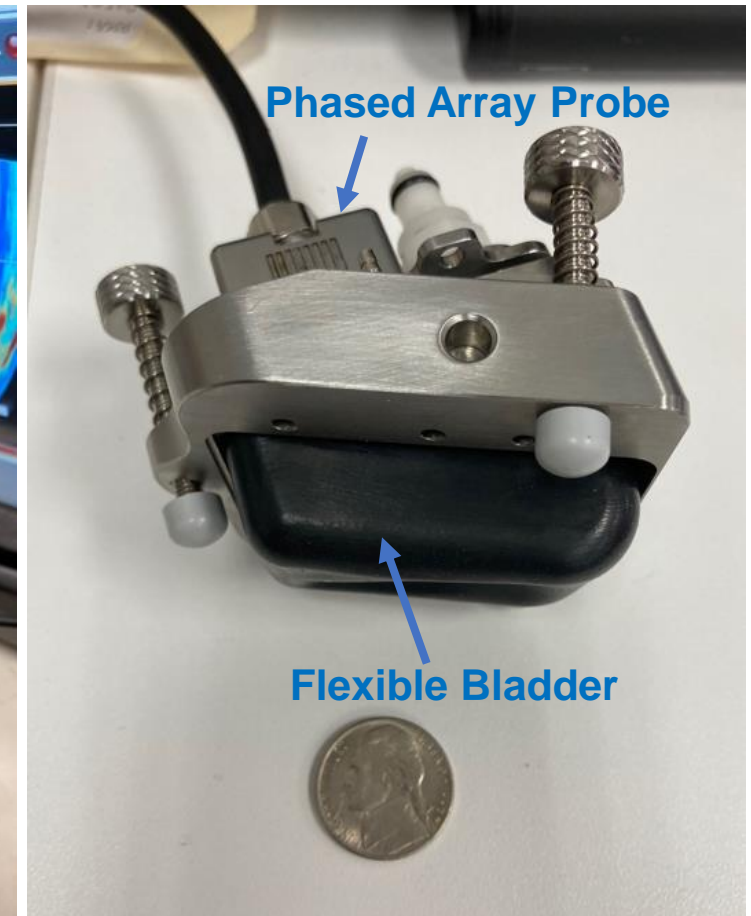
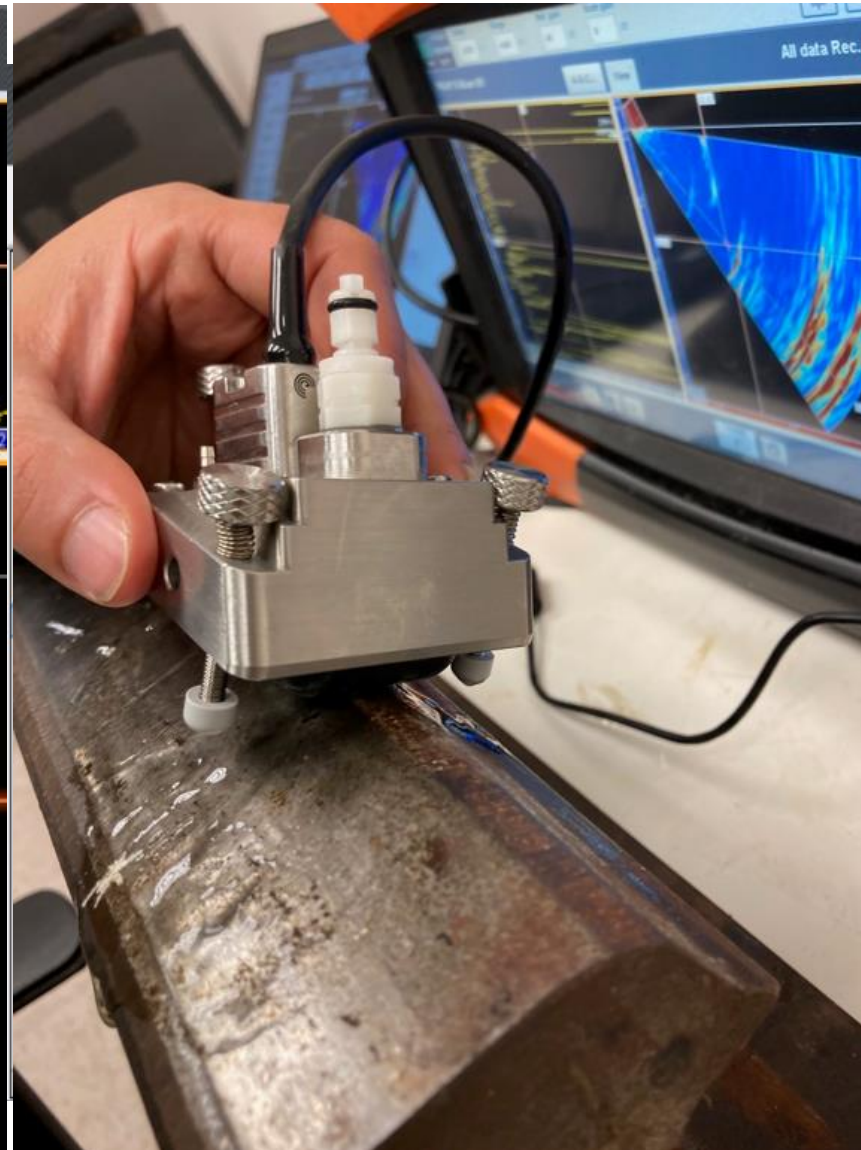
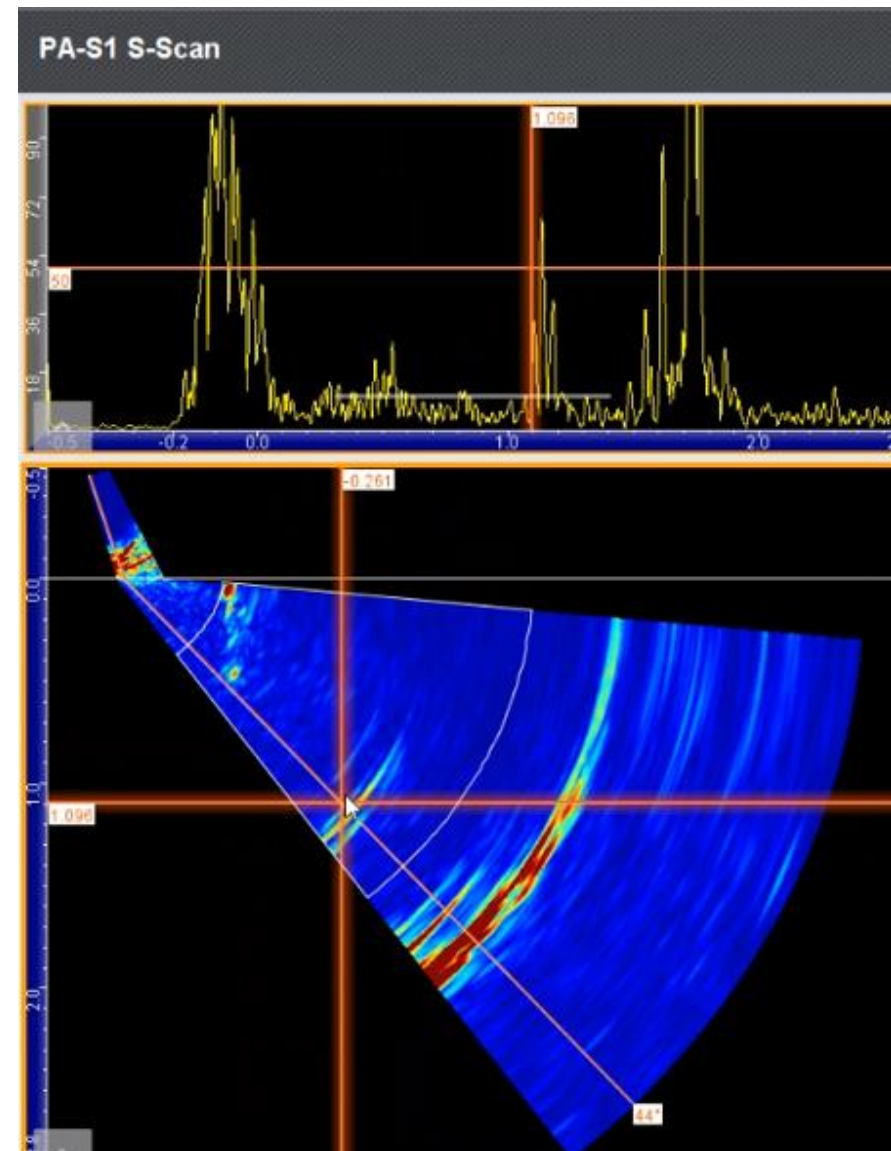
32-element 5 MHz Probe TFM Results



64-element 5 MHz Probe TFM Results

- TFM/FMC offers additional scan resolution and details for internal rail imaging
 - Challenges – Rail head wear and rolling contact fatigue (RCF)

Flexible Ultrasonic Probe Array- Ongoing



Flexible Ultrasonic Phased Array Probe

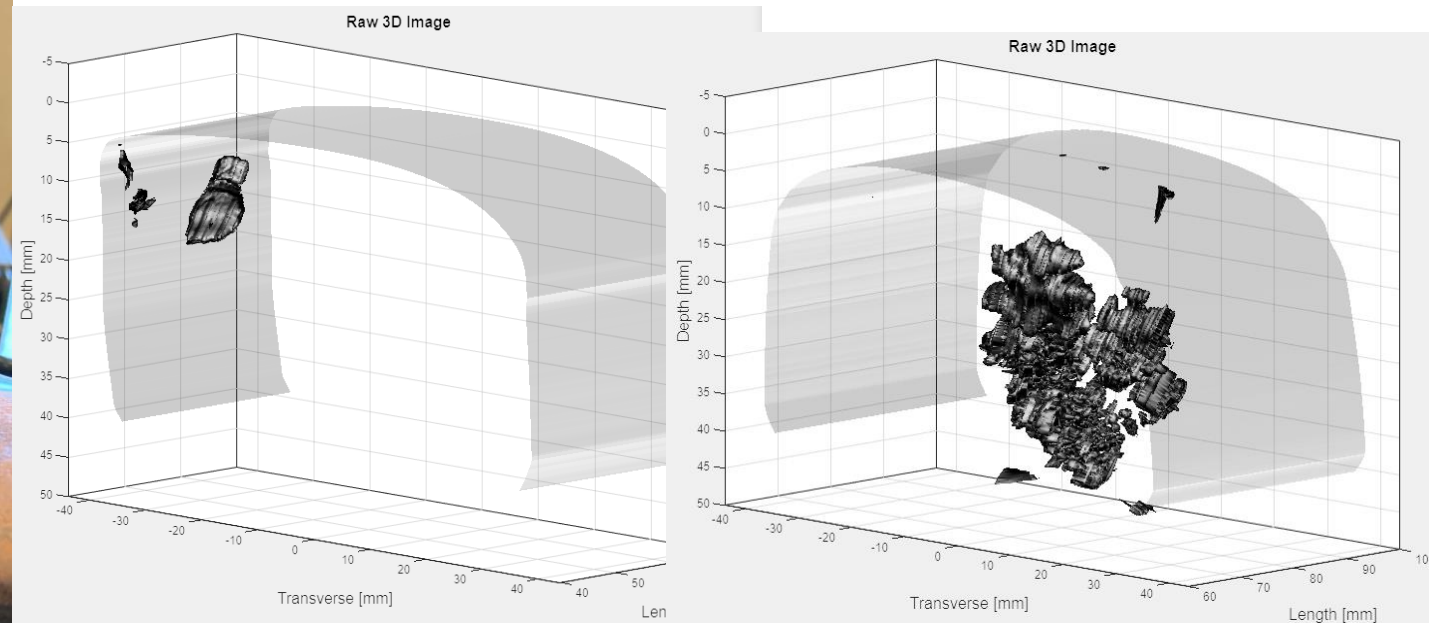
UCSD Rail Flaw Imaging



- **GOAL - Develop field deployable robust rail flaw imaging prototype**
 - 3-D image reconstruction from 2-D slices using sparse SAFT with sub-array transmission



UCSD rail flaw imaging setup

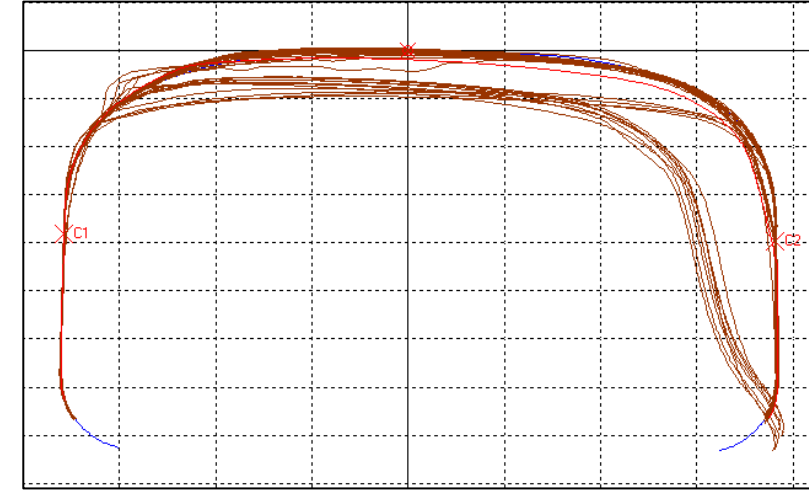


Reconstructed 3-D rail flaw images

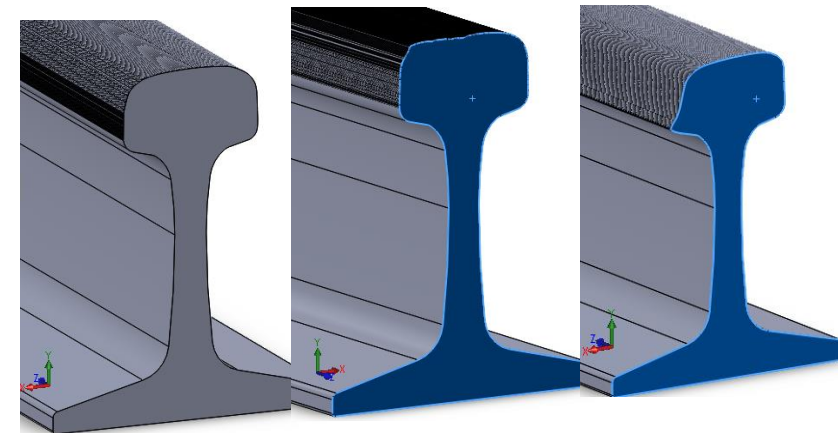
Current Status

- Artificial Reflectors: **54**
- Service Induced flaws: **62**
 - *TDs, TDs with shells, shells, BHC, VSH, HSH, Inclusions, Wheel burn w/TD, Base Defect*
- No Flaws: **27**
- Broken weld samples (Web/Base Defects): **7**

All rail flaw samples are characterized with higher level of accuracy and standards



MiniProf analysis of rail profiles



Solidworks model of RF samples

Conclusion



- **Rail/Track Inspection is key for assuring Safety & Structural integrity in rails**
 - **GOAL – 0 TRACK RELATED ACCIDENTS**
 - Growing demand for higher axle loads
 - Fatigue defects and RCF are ongoing issues
 - Other challenges



Example of a Rail Failure



Hand-held Ultrasound Testing

- EQUIPMENT WILL CHANGE TEST METHOD PHYSICS (First Principles) AND INTERACTIONS WILL REMAIN THE SAME!
- If we use the same old tools without innovative methods, we are going to make the same old discoveries
- Doing the same thing over and over while expecting different results is definition of insanity



Acknowledgements



- **FRA Office of Research and Development for funding this work**
- **Dr. Robert Wilson, FRA COR**
- **AAR/SRI Program**
- **North American Class I Railroads**
- **OEMs and Suppliers of the NDE technology**
- **Rail Testing Suppliers**

Live UT and PAUT demonstration by TPCI Team



Thank you

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